

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Leister et al.

Serial No.: 10/537,752

For: PROCESS FOR PRODUCING BOROSILICATE GLASSES,
BORATE GLASSES AND CRYSTALLIZING BORON-
CONTAINING MATERIALS

Filed: June 6, 2005

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Confirmation No.: 5178

Customer No.: 27623 Attorney Docket No.: 2133.089USU

Mail Stop Missing Parts
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)

Transmitted herewith are the following documents pertaining to the above-identified application:

- Declaration and Power of Attorney executed by Michael LEISTER, Uwe KOLBERG, Werner KIEFER, Sybill NUTTGENS, Alexander PENKERT, Silke WOLFF and Nicole SURGES;
- The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- _____ Sheets of Replacement Drawings;
- An Assignment of the Invention to: Schott AG, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- A Certified Copy of the Priority Application;
- Information Disclosure Statement (Form - 1449) with copy of the English translation of the International Preliminary Examination Report;

A copy of the Notice to File Missing Parts of Application Filing Date Granted.

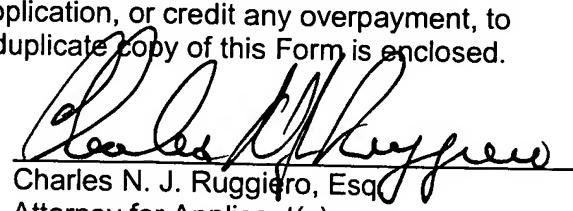
Petition and Fee for Extension of Time (37 CFR 1.136(A)).

A check in the amount of \$ 170.00 is enclosed (\$130.00 to cover the surcharge; \$40.00 for recordation of assignment)

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

December 2, 2005

Date



Charles N. J. Ruggiero, Esq.
Attorney for Applicant(s)
Registration No. 28,468
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
Telephone: (203) 327-4500
Telefax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, on December 2, 2005.

Ruth J. Olivo
NAME.



Ruth J. Olivo
SIGNATURE

December 2, 2005
DATE

12/08/2005 ATRAN1 00000151 10537752
01 FC:1617
130.00 op

PCT
S

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/537,752	Michael Leister	2133.089USU

INTERNATIONAL APPLICATION NO.

PCT/EP03/13576

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP
 ONE LANDMARK SQUARE, 10TH FLOOR
 STAMFORD, CT 06901

RECEIVED

I.A. FILING DATE

PRIORITY DATE

OCT 06 2005

12/02/2003

12/06/2002

OHLANDT, GREELEY,
 RUGGIERO & PERLE, LLP

CONFIRMATION NO. 5178

371 FORMALITIES LETTER



OC000000017137387

Date Mailed: 10/03/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/06/2005
- Copy of the International Search Report filed on 06/06/2005
- Copy of IPE Report filed on 06/06/2005
- Preliminary Amendments filed on 06/06/2005
- Information Disclosure Statements filed on 06/06/2005
- U.S. Basic National Fees filed on 06/06/2005
- Priority Documents filed on 06/06/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/537,752	PCT/EP03/13576	2133.089USU

FORM PCT/DO/EO/905 (371 Formalities Notice)